

**D&W**  
DUNDAS & WILSON

Northwest Wing  
Bush House, Aldwych  
**London** WC2B 4EZ  
Tel: 020 7240 2401  
Fax: 020 7240 2448

Saltire Court  
20 Castle Terrace  
**Edinburgh** EH1 2EN  
Tel: 0131 228 8000  
Fax: 0131 228 8888

191 West George Street  
**Glasgow** G2 2LD  
Tel: 0141 222 2200  
Fax: 0141 222 2201

[www.dundas-wilson.com](http://www.dundas-wilson.com)

© 2010, Dundas & Wilson CS LLP and  
Dundas & Wilson LLP. All rights reserved.

**D&W**  
DUNDAS & WILSON

TEAM: Dawn Raids &  
Regulatory Support

## Managing Regulatory Risk

The threat of dawn raids and intrusive regulatory investigations means that companies have to develop strategies and procedures for compliance. Failure to do so leaves companies, their directors and employees vulnerable to heavy financial penalties, disqualification and prison sentences.

D&W's Regulatory Support Team is here to help. Drawn from specialists with experience of dealing with a range of regulators and enforcement authorities, the Team should be your first port of call for regulatory compliance issues and in the event of a dawn raid or other regulatory investigation.

Specifically, our services cover:

- dawn raid support
- competition law investigations
- financial services regulation
- utilities regulation
- health & safety
- environmental
- tax

If you would like further information on our dawn raid and regulatory support services, please contact one of the following specialists:



**Peter Willis**  
Partner, EU & Competition

Direct Dial: 020 7759 9962  
peter.willis@dundas-wilson.com



**Martin Thomas**  
Partner, Dispute Resolution

Direct Dial: 020 7759 3543  
martin.thomas@dundas-wilson.com



**Patrick Brandt**  
Partner, Financial Services

Direct Dial: 020 7759 9936  
patrick.brandt@dundas-wilson.com



**Colin Hutton**  
Partner, Dispute Resolution

Direct Dial: 0131 200 7517  
colin.hutton@dundas-wilson.com



**Philip Mackay**  
Partner, Financial Services

Direct Dial: 0131 200 7311  
philip.mackay@dundas-wilson.com



**Karagh Gilliatt**  
Senior Associate, Financial Services

Direct Dial: 0131 200 7308  
karagh.gilliatt@dundas-wilson.com



**Graeme Bruce**  
Partner, Corporate

Direct Dial: 0141 304 6002  
graeme.bruce@dundas-wilson.com



**Lindy Patterson**  
Partner, Construction & Engineering

Direct Dial: 0141 304 6100  
lindy.patterson@dundas-wilson.com



**Duncan Mawby**  
Senior Associate, Health & Safety

Direct Dial: 0131 200 7501  
duncan.mawby@dundas-wilson.com